

APR 29 2005

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From: Georgann S. Grunebach  
Reg. No. 33,179

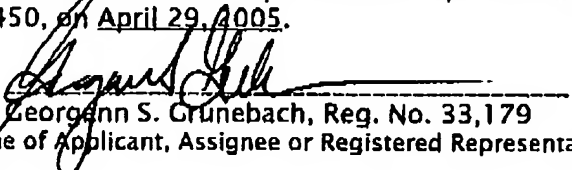
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(Name of Applicant, Assignee or Registered Representative)

April 29, 2005  
Date  
(Date of Facsimile Transmission)

Re: Serial No. 10/068,039

Filed: February 5, 2002

Inventors: Ernest C. Chen et al.

For: PREPROCESSING SIGNAL LAYERS IN A LAYERED MODULATION DIGITAL SIGNAL SYSTEM TO USE LEGACY RECEIVERS

Attorney Docket No.: PD-201148

Transmitted herewith: INFORMATION DISCLOSURE STATEMENT (2 pages)  
FORM PTO 1449 (1 page)

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Patent  
PD-201148  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen,  
et al.

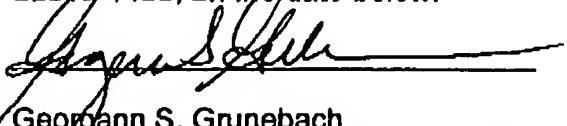
Serial No.: 10/068,039  
Filed: February 5, 2005

For: PREPROCESSING SIGNAL  
LAYERS IN A LAYERED  
MODULATION DIGITAL SIGNAL  
SYSTEM TO USE LEGACY  
RECEIVERS

Group Art Unit: 2631  
Examiner: Juan A. Torres

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**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (c)**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The reference was cited in an Office Action dated April 19, 2005 in related patent application serial number 10/068,047 filed on February 5, 2002.

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Serial No. 10/068,039.....Page 2

No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By: 

Georgann S. Grunebach, Reg. No. 33,179

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April 29, 2005

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<b>Complete if Known</b>	
	Application Number	10/068,039
	Filing Date	February 5, 2002
	First Named Inventor	Ernest C. Chen
	Art Unit	2631
	Examiner Name	Juan A. Torres
Sheet 1 of 1	Attorney Docket Number	PD-201148

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,819,157	10-1998	Ben-Efraim et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

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